

Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

### Form 2007-4

†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) husiness days of the agreement

` ` `	silicos days of t	•				
Buyer Na	ıme <sup>†</sup>				<del></del>	Account ID#
Seller Na	me†					Account ID#
If Interme	ediary:		LC			Account ID# 700117
Type of co Continger X Forward	nt Right to: 🛛 P	urchase 🗆 Sell	□ Swap	Premium paid	for this contract:	
	n this agreemen	t was reached:		Expiration Dat 9/25/06	te or Date when t	he RTCs are to be delivered :
→ Attach	forward contract	t or agreement fo	r contingent right t	o purchase		
		<u>-</u>	dentify one polluta	•	(A	ittach a separate form if more spaces are needed)
-	Terms of RTC Tra	ansferred	Original Zona	Mavimum		
Cycle	From Compliance Year(*)	To Compliance Year(*)	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
1	12/31/2011	12/31/2020	Coastal	2,583	\$6.36/lb	Rule No.: Reg XX
1	12/31/2021	All Years After	Coastal	2,583	\$6.36/lb	Rule No.: Reg XX
2	6/30/2012	6/30/2021	Coastal	2,417	\$6.36/lb	Rule No.: Reg XX
2	6/30/2022	Ali Years After	Coastal	2,417	\$6.36/lb	Rule No.: Reg XX
	1 1	1 1				Rule No. :
	1 1	1 1				Rule No. :
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	1 1	1 1				Rule No. :
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understand associated t	that this report does	s not act as or replace n accordance with Ru	the RTC Transaction	Registration. I also un pusiness days of the a	derstand that a separectual RTC transfer.	ase, sale, or swap RTCs. I further rate RTC Transaction Registration and certify that the statements are true, accurate,
Reported E	By:Samantha	a Unger(Print na	me)	Signatu	re:	
Company I	Name & Title:	Evolution Markets I	LLC, Director of Calif	fornia Environmenta	l Markets_ Date a	nd Place: _White Plains, NY 1/3/06
SCAQMI	D Disclaimer: This r	report will be made av	ailable to the public for	informational purpose	s only. The SCAQM	D does not verify the content of this report.

Submit this form to

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830



Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

### Form 2007-4

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Buyer Na	ıme <sup>†</sup>				<del></del>	Account ID#
Seller Na	me†					Account ID#
If Interme	ediary:		LC			Account ID# 700117
Type of co Continger X Forward	nt Right to: 🛛 P	urchase 🗆 Sell	□ Swap	Premium paid	for this contract:	
	n this agreemen	t was reached:		Expiration Dat 9/25/06	te or Date when t	he RTCs are to be delivered :
→ Attach	forward contract	t or agreement fo	r contingent right t	o purchase		
		<u>-</u>	dentify one polluta	•	(A	ittach a separate form if more spaces are needed)
-	Terms of RTC Tra	ansferred	Original Zona	Mavimum		
Cycle	From Compliance Year(*)	To Compliance Year(*)	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
1	12/31/2011	12/31/2020	Coastal	2,583	\$6.36/lb	Rule No.: Reg XX
1	12/31/2021	All Years After	Coastal	2,583	\$6.36/lb	Rule No.: Reg XX
2	6/30/2012	6/30/2021	Coastal	2,417	\$6.36/lb	Rule No.: Reg XX
2	6/30/2022	Ali Years After	Coastal	2,417	\$6.36/lb	Rule No.: Reg XX
	1 1	1 1				Rule No. :
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	1 1	11				Rule No. :
	1 1	1 1				Rule No. :
	1 1	1 1				Rule No. :
	1 1	1_1_				Rule No. :
transac this co	ction, (2) perpetual str lumn "Single Year Tr mpliance year. Use	ream transaction, or (3) and ade. For a perpetual s	multiple year transaction of tream transaction, mark t	of RTCs of same zone, o his column "All Years Af	uantity, and price in a s ter". For a multiple yea	Year" Column is used to enter (1) single year single line. For a single year transaction, mark ar transaction, fill in the expiration date of the ive years between these two columns will be
understand associated t	that this report does	s not act as or replace n accordance with Ru	the RTC Transaction	Registration. I also un pusiness days of the a	derstand that a separectual RTC transfer.	ase, sale, or swap RTCs. I further rate RTC Transaction Registration and certify that the statements are true, accurate,
Reported E	By:Samantha	a Unger(Print na	me)	Signatu	re:	
Company I	Name & Title:	Evolution Markets I	LLC, Director of Calif	fornia Environmenta	l Markets_ Date a	nd Place: _White Plains, NY 1/3/06
SCAQMI	D Disclaimer: This r	report will be made av	ailable to the public for	informational purpose	s only. The SCAQM	D does not verify the content of this report.

Submit this form to

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

### Form 2007-4

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		Environmental F ition Markets LL				ount ID# 700122 ount ID# 700117
		Purchase □Sell	□ Swap	Premium paid for this \$.50/lb	contract:	
Date whei 1/28/05 –	n this agreeme REVISED 12/20		R. 8317	Remaining Option Per credits can be delivered optioned until January February 2, 2007, if ex	iod: On Ma ed, whateve / 3, 2007 ex	RTCs are to be delivered : irch 15, 2006 any portion of the er is not delivered will remain spiration; Delivery on or before
		_	for contingent right dentify one pollutant of	•	(Attach	a separate form if more spaces are needed)
T Cycle	erms of RTC Ti From Compliance Year(*)	ransferred To Compliance Year(*)	Originall Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Poun d)	Origin of Credits
1	12/31/2008	Single Year Trade	Coastal	3,631 lbs/year (post- shave volume)	\$6.00/15	Reg XX
1	12/31/2009	Single Year Trade	Coastal	3,705 lbs/year (post- shave volume)	\$6.00/lb	Reg XX
2	6/30/2009	Single Year Trade	OR Coastal	3,631 lbs/year (post- shave volume)	\$6.00/lb	Reg XX
2	6/30/2010	Single Year Trade	Coastal	3,705 ibs/year (post- shave volume)	\$6.00/lb	Reg XX
transac this col last cor registed understa swap RTC separate F the actual	ction, (2) perpetual str umn "Single Year Tr mpliance year. Use red. nd that this is o s. I further und RTC Transaction RTC transfer. I	ream transaction, or (3) made'. For a perpetual stress esparate lines for transanly a report to the A erstand that this report to the A certify that the stat	ultiple year transaction of RT eam transaction, mark this of ctions of different RTCs, quantification of the agreeme port does not act as of associated forms are rements are true, accumulations.	Cs of same zone, quantity, and column "All Years After". For a mantities or prices. Transactions for the following of the contract or replace the RTC Transaction required in accordance was and complete to the	orice in a single pultiple year train all inclusive year a contingenction Registion Rule 200	Column is used to enter (1) single year line. For a single year transaction, mark saction, fill in the expiration date of the ears between these two columns will be ent right to purchase, sale, or tration. I also understand that a 7 within five (5) business days of knowledge.
Reported I	By: _Samantha	Unger(Print nam		Signature:		<del></del>
	lama & Title: Evel	ution Markata LLC Di	izaataa af Califaania Fuu	i <u>ronmental Markets</u> Date an	d Diaco: Whi	ePlaine NV 2/42/06

Submit this form to SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830

Form 2007-4 Rev. 12-03



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

### Form 2007-4

†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

Buyer N	ame <sup>†</sup> Energy	Initiative Grou		Account ID# 700105			
	•	Environmenta ution Markets		Account ID# 700122Account ID# 700117			
		Purchase □Se	ell □ Swap	Premium paid for this \$.50/lb	contract:		
1/28/05 –	REVISED 12/2		d: ent for contingent right	Expiration Date or Date when the RTCs are to be delivered: Remaining Option Period: January 3, 2007 expiration; Delivery or before February 2, 2007, if exercised. Delivery to Grey K Environmental Fund, L.P. of all exercised credits on or before January 29, 2010.			
			(Identify one pollutant	only)	(Attach	a separate form if more spaces are needed)	
Ti Gycle	ermynof RinCe The Friom Gompliance Year(1)	Je Gompliance	□ Original Zone (Coastal or Infand)	Vlaximum Quantity (Pounds/Year)	. Reize (C/Poun. d)	By Origin of Gredits	
1	12/31/2008	12/31/2010	Coastal	6,000 lbs/year (post- shave volume)	\$6.00/Ib·	Reg XX	
1	12/31/2011	All Years After	Coastal	6,000 lbs/year (post- shave volume)	\$6.00/lb	Reg XX	
			OR				
2	6/30/2009	6/30/2011	Coastal	6,000 lbs/year (post- shave volume)	\$6.00/lb	Reg XX	
2	6/30/2012	All Years After	Coastal	6,000 lbs/year (post- shave volume)	\$6.00/lb	Reg XX	
transac this cole last cor register I understar swap RTC: separate R the actual	tion, (2) perpetual str umn 'Single Year Tra mpliance year. Use ed. nd that this is ou s. I further unde TC Transaction	ream transaction, or (3 ade*. For a perpetual separate lines for transly a report to the erstand that this registration and certify that the st	multiple year transaction of RT stream transaction, mark this α sactions of different RTCs, quale AQMD of the agreement eport does not act as or	Cs of same zone, quantity, and olumn "All Years After". For a m ntities or prices. Transactions for at of a forward contract or replace the RTC Transactions or equired in accordance w	price in a single nultiple year trai or all inclusive ye or a contingu action Regis	Column is used to enter (1) single year line. For a single year transaction, mark isaction, fill in the expiration date of the ears between these two columns will be ent right to purchase, sale, or tration. I also understand that a 7 within five (5) business days of	

Submit this form to

SCAQMD Disclaimer. This report will be made available to the public for informational purposes only. The SCAQMD does not verify the content of this report.

Company Name & Title: Evolution Markets LLC, Director of California Environmental Markets Date and Place: Whit ePlains, NY

(Print name)



Rev. 12-03

### SOUT COAST AIR QUALITY MANAGEME DISTRICT

# Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

### Form 2007-4

TRule 2007 (e)(2)(C) requires that all agreements for a contingent right to property the property is a contingent right to property the property is a contingent right to property the contraction of the c	irchase RTCs or forward contracts be reported by either the
contracting parties, or by the agent, proker, or other intermediary represent	ing the contracting parties. In the case of intermedian, the
rule allows that the identities of actual trading parties need not be disclosed	This report is required to be submitted to the AOMD within
five (5) business days of the agreement.	The section of the section of the section within

If Intermedia Type of cor Contingent X Forward Date when 12/22/05 → Attach for	diary: ary Name Evo ntract: t Right to: □ F		TC			Account ID# Account ID#
Type of concontingent X Forward Date when 12/22/05 → Attach for the Intermedial Type of concontingent A Forward Date when Item 12/22/05	olary: ary Name Evo ntract: t Right to:   F					
Type of cor Contingent X Forward Date when 12/22/05 → Attach f	ntract: t Right to: □ F	olution Markets L	.LC			
Contingent X Forward Date when 12/22/05 → Attach for the continuation of the continua	t Right to: 🗆 F					Account ID# 700117
X Forward Date when 12/22/05 → Attach f	t Right to: □ F			Premium paid	for this contract:	
12/22/05 → Attach f			□ Swap			
	this agreemer	nt was reached:		Expiration Da 9/25/06	te or Date when t	the RTCs are to be delivered :
	forward contrac	ct or agreement fo	r contingent right t	o purchase		
			dentify one polluta			
-		<del></del>			(A	Attach a separate form if more spaces are needed)
Te	erms of RTC Tra	ansferred	Original Zone	Maximum		·
Cycle	From Compliance Year(*)	To Compliance Year(*)	(Coastal or Inland)	Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
1	12/31/2006	Single Year Trade	Coastal	2,501	\$6.184/lb	Rule No.: Reg XX
1	12/31/2007	Single Year Trade	Coastal	2,209	\$6.184/lb	Rule No.: Reg XX
1	12/31/2008	Single Year Trade	Coastal	2,141	\$6.184/lb	Rule No.: Reg XX
1	12/31/2009	Single Year Trade	Coastal	2,073	\$6.184/lb	Rule No.: Reg XX
1	12/31/2010	Single Year Trade	Coastal	2,006	\$6.184/lb	Rule No.: Reg XX
1	12/31/2011	12/31/2020	Coastal	1,939	\$6.184/lb	Rule No.: Reg XX
	12/31/2021	All Years After	Coastal	1,939	\$6.184/lb	Rule No.: Reg XX
	//	1 1				Rule No. :
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	1 1	1 1				Rule No. :
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ssociated form	at a no roport occo	accordance with Rule	HERLIG HANSAMION R	BUISTESTION I STOWN HAD	INTERNATIONAL A ARROW	ate RTC Transaction Registration and certify that the statements are true, accurate,
Reported By:	Samantha	Linger				1
repertou by:		(Print nam	ie)	Signature	<b>3</b> :   	
ompany Nar	me & Title:E	Evolution Markets LL	.C, Director of Califo	rnia Environmental	 Markets Date and	d Place: _White Plains, NY 12/28/05
						does not verify the content of this report.
				is form to		CA 91765-0830 Post 12/28/65



# Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

### Form 2007-4

Buyer N	lame <sup>†</sup>				<u> </u>	_Account ID#
Ocher IV	ane,					
	iodiai y.					_Account ID#
interme	diary Name Ev	olution Markets L	LC			Account ID# 700117
rype or o	contract:				d for this contract	
Continge	nt Right to:	Purchase   Sell	☐ Swap	Tromath par		
	rd Contract	nt was reached:				
12/22/05				9/25/06	ite or Date when	the RTCs are to be delivered :
→ Attach	forward contra	ct or agreement fo	r contingent right to	o purchase		
ollutant:	NOx _X_	or SOx (lo	dentify one nothital	at only)	<i>;</i>	
	<del></del>			. Orny)		Attach a separate form if more spaces are neede
	Terms of RTC Tr	ansferred	Original Zone	Maximum	,	I more spaces are neede
Cycle	From Compliance Year(*)	To Compliance Year(*)	(Coastal or Inland)	Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
2	6/30/2009	6/30/2011	Coastal	50	\$6.184/lb	Dula M. D. Yer
1	12/31/2006	Single Year Trade	Coastal	2,501	\$6.184/lb	Rule No.: Reg XX
1	12/31/2007	Single Year Trade	Coastal	2,208	\$6.184/lb	Rule No.: Reg XX
1	12/31/2008	Single Year Trade	Coastal	2,091	\$6.184/lb	Rule No.: Reg XX Rule No.: Reg XX
1	12/31/2009	Single Year Trade	Coastal	2,024	\$6.184/lb	Rule No.: Reg XX
	12/31/2010	Single Year Trade	Coastal	1,956	\$6.184/lb	Rule No.: Reg XX
1	12/31/2011	12/31/2020	Coastal	1,938	\$6.184/lb	Rule No.: Reg XX
_1	12/31/2021	All Years After	Coastal	1,938	\$6.184/lb	Rule No.: Reg XX
			•			Rule No. :
		1 1				Rule No :
last com registers inderstand the derstand the ociated for	that this is only a re that this report does rms are required in to the best of my k	de". For a perpetual streseparate lines for transact eport to the AQMD of the not act as or replace the accordance with Rule nowledge.	am transaction, mark this tions of different RTCs, que e agreement of a forward of RTC Transaction.	a column *All Years Afte uantities or prices. Train ard contract or a conti- gistration. I also und tiness days of the act	rise in a multiple year sactions for all inclusive ngent right to purcha erstand that a separaual RTC transfer. I c	par Column is used to enter (1) single year rigle line. For a single year transaction, mark transaction, fill in the expiration date of the e years between these two columns will be se, sale, or swap RTCs. I further the RTC Transaction Registration and ertify that the statements are true, accurate,
ported by	oamanua	(Print name		Signature	:	•

SCAQMD Disclaimer. This report will be made available to the public for informational purposes only. The SCAQMD does not verify the content of this report.

Submit this form to

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Postmer 105



Regional CLean Air Incentives Market Trading Credits (RTCs)
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Seller Na	me†					Account ID#		
If Interme	ediary:				Account ID# 700117			
	nt Right to: 🛘 P	urchase 🗆 Sell	☐ Swap	Premium paid	for this contract:			
	d Contract n this agreemen	t was reached:		Expiration Dat 9/25/06	e or Date when t	he RTCs are to be delivered :		
→ Attach	forward contract	t or agreement fo	or contingent right	to purchase				
Pollutant:	NOxX	or SOx (i	Identify one polluta	int only)	(A	Attach a separate form if more spaces are needed)		
Cycle	From Compliance Year(*)	To Compliance Year(*)	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits		
2	6/30/2012	6/30/2022	Coastal	15,000	\$6.00/lb	Rule No.: Reg XX		
2	6/30/2023	All Years After	Coastal	15,000	\$6.00/lb	Rule No.: Reg XX		
	1 1	1.1				Rule No. :		
	1 1	1 1				Rule No. :		
	1 1	1 1				Rule No.:		
	1 1	1 1				Rule No.:		
	1 1	1 1				Rule No.:		
	1 1	1 1				Rule No.:		
	1 1	1 1				Rule No.:		
	1 1	1 1				Rule No.:		
transa this co	ction, (2) perpetual str blumn "Single Year Tr ompliance year. Use	ream transaction, or (3) ade". For a perpetual:	multiple year transaction stream transaction, mark	of RTCs of same zone, of this column "All Years Af	quantity, and price in a s 'ter'. For a multiple yea	Year' Column is used to enter (1) single year single line. For a single year transaction, mark ar transaction, fill in the expiration date of the sive years between these two columns will be		
understand associated	that this report does	s not act as or replac in accordance with R	e the RTC Transaction	Registration. I also un	iderstand that a sepa	nase, sale, or swap RTCs. I further arate RTC Transaction Registration and certify that the statements are true, accurate,		
Reported I	By:Samanth	a Unger(Print n	ame)	Signatu	re:			
Company	Name & Title:	_Evolution Markets	LLC, Director of Cal	ifornia Environmenta	ıl Markets_ Date a	and Place: _White Plains, NY 1/11/06		
SCAQM	ID Disclaimer: This i	report will be made a	vailable to the public fo	r informational purpose	es only. The SCAQM	ID does not verify the content of this report.		

Submit this form to

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830



Form 2007-4

Rev. 12-03

## STHEOAST AIR QUALITY MANAGEMEN DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)
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five (5) bus	siness days of t	he agreement.					
Buyer Na	me†					Account ID#	
Seller Na	met					Account ID#	
If Interme	ediary:	ntor Fitzgerald	- 5436			Account ID#	700004
	ontract: nt Right to: ☐ vard Contract	XPurchase □ S	Sell □ Swap	\$0.00 for first \$90,000 for se	cond 6 months		
	n this agreeme	ent was reached:		Expiration Da 12/01/2006	te or Date when	the RTCs are	to be delivered :
→ Attach	forward contr	act or agreemen	t for contingent rig	ht to purchase			
Pollutant:	NOx _X	or SOx (I	dentify one pollutan	t only)			
The second second					( <u>A</u>	ttach a separate form	if more spaces are needed)
Cycle	From Compliance	ansferred To Compliance Year(*)	Original Zone (Coastal or Inland)	Maximum  Quantity (Rounds/Year)	Price (\$/Pound)	Orig	in of Credits
2	Year(*) 06/30/2012	06/30/2021	Coastal	22,500	\$5.455	Rule No.	
2	06/30/2022	All Years After	Coastal	22,500	\$5.455	Rule No.	XX
						Rule No.	
						Rule No.	
						Rule No.	
						Rule No.	
					. <u></u>	Rule No.	
transac this coll last cor register I understan further und Registration	tion, (2) perpetual strumm "Single Year Tranpliance year. Use red.  d that this is only erstand that this in and associated	ream transaction, or (3) ade". For a perpetual st separate lines for transact a report to the AQN report does not act a forms are required i	piration date of the first cormultiple year transaction of ream transaction, mark this actions of different RTCs, quality of the agreement of as or replace the RTC Ton accordance with Rule best of my knowledge.	RTCs of same zone, que column *All Years After uantities or prices. Trans a forward contract of ransaction Registrate 2007 within five (5)	iantity, and price in a si ". For a multiple year to isactions for all inclusive or a contingent righ tion. I also unders	ngle line. For a single ransaction, fill in the e e years between thes to purchase, saltand that a separa	year transaction, mark expiration date of the tie two columns will be  e, or swap RTCs. I
Reported I	By: _Christine	Grandstaff		Signatu	re:		
	<b>,</b> .	(Print n	ame)	_			17. 3.5
Company	Name & Title: _	Cantor Fitzgerald	Broker	Date and P	ace: <u>12/13/200</u>	5 San Juan Cap	istrano, CA
SCAQMI	O Disclaimer: This	report will be made a	vailable to the public for i				ne content of this report.
	SCAQMD,	RECLAIM Admin	Submit t istration - RTC Tra	his form to nsfers, P.O. 483	0, Diamond Bar,	, CA 91765-083	Post 12/16/05



# Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

### Form 2007-4

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five (5) bu	siness days of t	he agreement.				
Buyer Na	ame†					_ Account ID#
Seller Na	ame†					_ Account ID#
If Intermed	ediary:		- 5437			_ Account ID# 700004
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2	06/30/2012	06/30/2021	Coastal	7,500	\$5.455	Rule No. : XX
2	06/30/2022	All Years After	Coastal	7,500	\$5.455	Rule No. : XX
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Reported	By: _Christine	Grandstaff		Signatur	e: _	
-	•	(Print na	me)			. 1
Company	Name & Title: _(	Cantor Fitzgerald	Broker	Date and Pla	ce: <u>12/13/20</u>	05 San Juan Capistrano, CA
SCAQM	D Disclaimer: This i	report will be made av	ailable to the public for	informational purposes	only. The SCAQ	OMD does not verify the content of this report.

Submit this form to

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830

Form 2007-4 Rev. 12-03



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

### Form 2007-4

fRule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

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11	12/31/2012	Single Year Trade		5,000	\$5.455	Rule No. : XX
1	12/31/2013	Single Year Trade		5,000	\$5.455	Rule No. : XX
1	12/31/2014	Single Year Trade		5,000	\$5.455	Rule No.: XX
11	12/31/2015	Single Year Trade		5,000	\$5.455	Rule No. : XX
1	12/31/2016	Single Year Trade		5,000	\$5.455	Rule No. : XX
1	12/31/2017	Single Year Trade		5,000	\$5.455	Rule No. : XX
1	12/31/2018	Single Year Trade		5,000	\$5.455	Rule No. : XX
1	12/31/2019	Single Year Trade		5,000	\$5.455	Rule No. : XX
1	12/31/2020 12/31/2021	Single Year Trade All Years After		5,000	\$5.455	Rule No. : XX
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Company	Name & Title: <u>C</u>	antor Fitzgerald	ŕ	Date and	d Place: <u>03/03/06</u>	San Juan Capistrano, CA
SCAQM	D Disclaimer: This r	eport will be made av	railable to the public for in	nformational purposes	s only. The SCAQMD	does not verify the content of this report.

Submit this form to

SCAQMD	, RECLAIM Administration	- RTC	Transfers, P.O. 4830,	, Diamond Bar,	CA 91765-0830
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Form 2007-4 Rev. 12-03	•		Page of pages
		•	



December 2, 2005

Mr. Danny Luong RECLAIM Administration Team South Coast Air Quality Management District 21865 E. Copley Drive P.O. Box 4830 Diamond Bar, California 91765-4182

#### Cancellation of Contingent Right to Purchase

Dear Danny:

Please let this letter serve as notification that the Contingent Right to Purchase 54,898 vintage "all years after 2011" transaction, reported by Cantor Fitzgerald on August 3, 2005 has been canceled. Attached please find a copy of the 2007-4 submitted for this transaction as well as the relevant Transaction Confirmations.

As always, thank you for your continuing assistance. If you have any questions please feel free to contact me at 800/228-2955.

Sincerely,

CANTOR FITZGERALD BROKERAGE, L.P.

Broker

V

Attachments

#### **ENVIRONMENTAL BROKERAGE**



Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

			<u></u>	Form 2007-4	f		
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Submit this form to

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830



Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

Form	2007-4	l
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Seller I	Name <sup>†</sup> nediary:					Account ID#
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SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830



December 2, 2005

Mr. Danny Luong RECLAIM Administration Team South Coast Air Quality Management District 21865 E. Copley Drive P.O. Box 4830 Diamond Bar, California 91765-4182

### Cancellation of Contingent Right to Purchase

Dear Danny:

Please let this letter serve as notification that the Contingent Right to Purchase 61,761 vintage "all years after 2011" transaction, reported by Cantor Fitzgerald on August 12, 2005 has been canceled. Attached please find a copy of the 2007-4 submitted for this transaction as well as the relevant Transaction Confirmations.

As always, thank you for your continuing assistance. If you have any questions please feel free to contact me at 800/228-2955.

Sincerely,

CANTOR FITZGERALD BROKERAGE, L.P.

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Attachments

#### **ENVIRONMENTAL BROKERAGE**



Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

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Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

### Form 2007-4 \*\*

†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

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1	12/31/2021	All Years After	Coastal	9,422	\$2.454		Rule No. : XX
2	06/30/2012	06/30/2021	Coastal	7,140	\$2.454		Rule No. : XX
2	06/30/2022	All Years After	Coastal	7,140	\$2.454	5	Rule No. : XX
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SCAQME	Disclaimer: This r	report will be made av	vailable to the public for	informational purpose	s only. The	SCAQMD de	oes not verify the content of this report.

Submit this form to SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830



Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

### Form 2007-4

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1	12/31/2011	12/31/2020	Coastal	9,422	\$1.364	Rule No. : XX
1	12/31/2021	All Years After	Coastal	7,140	\$1.364	Rule No. : XX
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